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DECLARATION AND POWER OF ATTORNEY

REGULAR OR DESIGN APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PROCESS FOR THE PREPARATION OF 9-DESOXOTAXANES

the specification of which:

(check one)

- ☐ is attached hereto
- ☒ was filed on September 5, 1995 as Application Serial No. 08/522,307, and was amended on _____
- ☒ was described and claimed in PCT International Application No. PCT/US94/02382, filed on March 4, 1994 and as amended under PCT Article 19 on _____, if any.

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

Priority Claimed

<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[<u> </u>] Yes	[<u> </u>] No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[<u> </u>] Yes	[<u> </u>] No
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	[<u> </u>] Yes	[<u> </u>] No

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION**

<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>
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CLAIM FOR BENEFIT OF PROVISIONAL APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

<u>(Application Number)</u>	<u>(Filing Date)</u>
<u>(Application Number)</u>	<u>(Filing Date)</u>

CLAIM FOR BENEFIT OF EARLIER U.S. APPLICATION(S) UNDER 35 U.S.C. 120

(complete this part only if this is a divisional,
continuation or CIP application)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

<u>(Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status: patented, pending, abandoned)</u>
<u>(Serial No.)</u>	<u>(Filing Date)</u>	<u>(Status: patented, pending, abandoned)</u>

POWER OF ATTORNEY

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Stuart N. Senniger (16,184); Irving Powers (15,700); Donald G. Leavitt (17,626); John K. Roedel, Jr. (25,914); Michael E. Godar (28,416); Edward J. Hejlek (31,525); William E. Lahey (26,757); Richard G. Heywood (18,224); Frank R. Agovino (27,416); Kurt F. James (33,716); G. Harley Blosser (33,650); Paul I. J. Fleischut (35,513); Vincent M. Keil (36,838); Robert M. Evans, Jr. (36,794); Robert M. Bain (36,736); Kathleen M. Petrillo (35,076); Rudolph A. Telscher, Jr. (36,032), and Paul A. Stone (38,628).

Send Correspondence To:

Direct Telephone Calls To:

SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102

Edward J. Hejlek
(314) 231-5400

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Robert A. Holton

Inventor's signature Robert A. Holton Date 10/17/95
Residence Tallahassee, Florida Citizenship USA
Post Office address 7125 Upland Glade
Tallahassee, Florida 32312

Full name of second joint inventor Carmon Somoza

Second inventor's signature _____ Date _____
Residence Corvallis, Oregon Citizenship Argentina
Post Office address Oregon State University, Department of Chemistry,
Corvallis, Oregon 97331-4003

POWER OF ATTORNEY

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Stuart N. Senniger (16,184); Irving Powers (15,700); Donald G. Leavitt (17,626); John K. Roedel, Jr. (25,914); Michael E. Godar (28,416); Edward J. Hejlek (31,525); William E. Lahey (26,757); Richard G. Heywood (18,224); Frank R. Agovino (27,416); Kurt F. James (33,716); G. Harley Blosser (33,650); Paul I. J. Fleischut (35,513); Vincent M. Keil (36,838); Robert M. Evans, Jr. (36,794); Robert M. Bain (36,736); Kathleen M. Petrillo (35,076); Rudolph A. Telscher, Jr. (36,032), and Paul A. Stone (38,628).

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Full name of sole or first inventor Robert A. Holton

Inventor's signature _____ Date _____
Residence Tallahassee, Florida Citizenship USA
Post Office address 7125 Upland Glade
Tallahassee, Florida 32312

Full name of second joint inventor Carmen Somoza

Second inventor's signature  Date 9-12-95
Residence Corvallis, Oregon Citizenship Argentina
Post Office address Oregon State University, Department of Chemistry,
Corvallis, Oregon 97331-4003

Full name of third joint inventor Yukio Suzuki

Inventor's signature Yukio Suzuki Date 9/18/85
Residence Sendai, Japan Citizenship Japan
Post Office address 121-9 Iwakiri Daiyashiki
Sendai 983, Japan

Full name of forth joint inventor Mitsuru Shindo

Second inventor's signature _____ Date _____
Residence Tokyo, Japan Citizenship Japan
Post Office address University of Tokyo, 7-3-1 Hongo, Bunkyo-ku
Tokyo 113, Japan

Full name of third joint inventor Yukio Suzuki

Inventor's signature _____ Date _____
Residence Sendai, Japan Citizenship Japan
Post Office address 121-9 Iwakiri Daiyashiki
Sendai 983, Japan

Full name of forth joint inventor Mitsuru Shindo

Second inventor's signature Mitsuru Shindo Date 9/12/95
Residence Tokyo, Japan Citizenship Japan
Post Office address University of Tokyo, 7-3-1 Hongo, Bunkyo-ku
Tokyo 113, Japan



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 22, 1996

PTAS

KATHLEEN M. PETRILLO
SENNIGER, POWERS, LEAVITT & ROEDEL
ONE METROPOLITAN SQUARE, 16TH FLOOR
ST. LOUIS, MO 63102



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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/30/1995

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NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOLTON, ROBERT A.

DOC DATE: 10/17/1995

ASSIGNOR:

SOMOZA, CARMEN

DOC DATE: 09/12/1995

ASSIGNOR:

SUZUKI, YUKIO

DOC DATE: 09/12/1995

ASSIGNOR:

SHINDO, MITSURU

DOC DATE: 09/12/1995

ASSIGNEE:

FLORIDA STATE UNIVERSITY
TALLAHASSEE, FLORIDA 32306

SERIAL NUMBER: 08522307 ✓
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

7711/0801 PAGE 2

JOANN STEWART, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

R. OF

12-01-1995

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings = 18

To the Honorable Commissioner of Patents &

100100618

original documents or copy thereof.

1. Name of conveying party(ies):

Robert A. Holton; Carmen Somoza;
Yukio Suzuki and Mitsuru ShindoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 10/17/95, 9/12/95

2. Name and address of receiving party(ies)

Name: Florida State University

Internal Address: _____

Street Address: _____

City: Tallahassee, State: FL ZIP: 32306Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/522,307

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen M. PetrilloInternal Address: Senniger, Powers, Leavitt
& RoedelStreet Address: One Metropolitan Square,
16th FloorCity: St. Louis State: MO ZIP: 631026. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen M. Petrillo

Name of Person Signing

Kathleen Petrillo

Signature

10-26-95

Date

Total number of pages including cover sheet, attachments, and document: 7

ASSIGNMENT

WHEREAS, We Robert A. Holton of Tallahassee, Florida and Carmen Somoza of Corvallis, Oregon, Yukio Suzuki of Sendai, Japan, and Mitsuru Shindo of Tokyo, Japan have invented an improvement in PROCESS FOR THE PREPARATION OF 9-DESOXOTAXANES (File FSU 10135) and have executed an application for a United States patent filed September 5, 1995 and assigned Serial No. 08/522,307;

AND, WHEREAS, Florida State University of Tallahassee, Florida, existing by virtue of the laws of the State of Florida (hereinafter referred to as "ASSIGNEE") is desirous of acquiring certain rights thereunder;

NOW, THEREFORE, for one dollar and other good and valuable consideration, receipt of all of which is hereby acknowledged, we have agreed to and do hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said invention, said United States application, any other United States applications (including divisional, continuing or reissue applications) based in whole or in part on said United States application or in whole or in part on said invention, any foreign applications based in whole or in part on any of the aforesaid United States application or in whole or in part on said invention, and any and all patents (including extensions thereof) of any country which have been or may be granted on any of the aforesaid applications or on said invention or any part thereof;

TO BE HELD AND ENJOYED by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by us had no sale and assignment of said interest been made;

AND We hereby authorize and request the Commissioner of Patents of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any of them, or upon said invention or any part thereof, to said ASSIGNEE;

AND We hereby jointly and severally agree for ourselves and for our respective heirs, executors and administrators, to execute without further consideration any further lawful documents and any further assurances, and any divisional, continuing, reissue, or other applications for patents of any country, that may be deemed necessary by said ASSIGNEE fully to secure to said ASSIGNEE its interest as aforesaid in and to said invention or any part thereof, and in and to said several patents or any of them;

AND We hereby jointly and severally covenant for ourselves and our respective legal representatives that we have granted no right or license to make, use or sell said invention, to anyone except said ASSIGNEE, that prior to the execution of this deed our right, title and interest in said invention had not been otherwise encumbered, and that we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, we have hereunto set our hands.

Robert A. Holton
Robert A. Holton

ACKNOWLEDGEMENT

STATE OF Florida)
COUNTY OF Leon)

On this 17th day of October, 1995, before me, a Notary Public, personally appeared Robert A. Holton to me known to be the person described in and who executed the foregoing assignment and acknowledged that he executed same as his free act and deed.

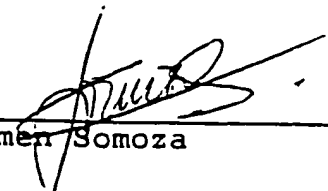
IN TESTIMONY WHEREOF, I have hereunto set my hand and seal the date and year last above written.

Marie C. Smit
Notary Public

My Commission Expires:

May 9 1999



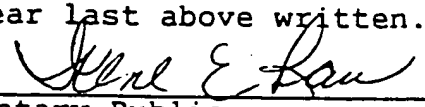

Carmen Somoza

ACKNOWLEDGEMENT

STATE OF Oregon)
COUNTY OF Benton)

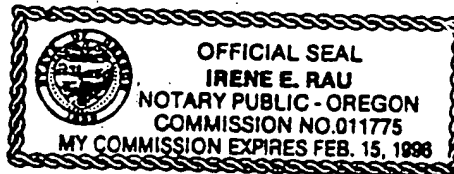
On this 12th day of September, 1995, before me, a Notary Public, personally appeared Carmen Somoza to me known to be the person described, in and who executed, the foregoing assignment and acknowledged that he executed same as his free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal and the date and year last above written.


Notary Public

My Commission Expires:

2/15/96



Yukio Suzuki
Yukio Suzuki

渡辺 正志
Witness

石沢 武宜
Witness

Mitsuru Shindo
Mitsuru Shindo

Keizo Sugawara
Witness

Naichi Akimoto
Witness